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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 02307O-077630
UC Case No. 99-177-5

Assistant Commissioner for Patents, Washington, D.C. 20231

on Marin 16,4001

TOWNSEND and TOWNSEND and CREW LLP

By Joy M. Mawhall

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Harada, et al.

Application No. 09/516,052

Filed: March 1, 2000

For:

LEAFY COTYLEDON1 GENES AND

THEIR USES

RECEIVED

MAR 2 2 2001

TECH CENTER 1600/2900

POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; Eric J. Baude, Reg. No. P-47,413, Kathleen L. Choi, Reg. No. 43,433, M. Henry Heines, Reg. No. 28,219; Matthew E. Hinsch, Reg. No. P-47,651; Laurence J. Hyman, Reg. No. 35,551; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; J. David Smith, Reg. No. 39,839, William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677;

John Harada, et al. Page 2

SF 1196976 v1



Attorney Docket No.: 02307O-077630 UC Case No. 99-177-5

William B. Kezer, Reg. No. 37,369, all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

		therewith, said appointment to be to the exclusion of t
inventors a	and their attorney(s) in accorda	ance with 37 CFR § 3.71.
	An assignment of the enti	re interest in the above-identified subject application:
		at reel/frame
		ntly submitted under separate cover to Attn: Box
Assignmer	nts for recording, a copy not for	or recordation is enclosed.
relative to	Townsend and Townsend Two Embarcadero Center San Francisco, California	and Crew LLP c, 8th Floor
	ASSIGNEE:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
	Signature: Typed Name: Title:	Linda S. Stevenson Manager, Patent Prosecution Office of Technology Transfer 1111 Franklin Street, 12th Floor Oakland, CA 94607-5200
Dated:	3-12-01	

OIPE	PTO/SB/96 (08-0 Approved for use-infough 10/31/2002. OMB 0651-00: U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC U.S. Patent and Trademark Office of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number	31 E
MAR 2 1	STATEMENT UNDER 37 CFR 3.73(b)	
/FZ	pplicant/Patent Owner: John Harada, Tamar Lotan, Masa-Aki Ohto, Robert B. Goldberg, Robert L. Fischer, Anhthu Bu	ι,
WY & TRA	Raymond Kwong	Į
	Application No./Patent No.: 09/516,052 Filed/Issue Date: March 1, 2000	
	Entitled: LEAFY COTYLEDON1 GENES AND THEIR USES	
1	The Regents of the University of California , a corporation , a corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.	,
	states that it is:	
	1. 🗵 the assignee of the entire right, title, and interest; or	
	2. an assignee of an undivided part interest	
	in the patent application/patent identified above by virtue of either:	
	A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
	OR	
	B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:	
	1. From: To : The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
	2. From: To :	
	The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
	3. From:	
	The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
	Additional documents in the chain of title are listed on a supplemental sheet.	
	Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]	
	The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.	
	3-12-01 Anda S Haunson. Date Signature	
	Date Linda S. Stevenson	
	Typed or printed name Manager, Patent Prosecution	



ASSIGNMENT

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U.C. Case No. 9	9-1 / /-5	ASSIGNATION AND ASSIGNATION ASSIGNATION ASSIGNATION AND ASSIGNATION ASSIGNATIO	GNOR(S), RECEIVED
For good and val	uable consideration, the receipt of w	which is hereby acknowledged, ASSIC	
1. <u>John Harada</u>		2. <u>Tamar Lotan</u>	MAR 2 2 2001
3. <u>Masa-Aki Oht</u>	0	4. Robert B. Goldberg	TECH CENTER 1600/2900
5. Robert L. Fisc	her	6. <u>Anhthu Bui</u>	•
7. Raymond Kw	ong		
94607-5200, a interest for the improvements v	IVING ITS Statewide administrative	E, The Regents of the University of offices located 1111 Franklin St., gal representatives of the ASSIGNE ossessions and in all foreign countrientitled:	F all of its fight, title and
LEAFY COTYLE	DON'T GENES 7.445		
and which is fo	und in		·
(a)	U.S. provisional application filed h	nerewith and listing the above-named	persons as inventors
(b)		with and listing the above-named per	sons as inventors
(c) <u>X</u>	U.S. application serial no. 09/516	6,052, filed on <u>March 1, 2000</u>	
(d)	U.S. Patent No.:	, issued	ty and in and to all Letters
Patent to be (obtained for said invention by the	try, including the right to claim priority above application or any continuation substitute thereof, and any reissue, national Conventions for the Protection	reexamination of extension
0, 00.0 2510.5	at the moleculary	ent sale agreement or encumbranc	e has been or will be made

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.



ST AME RECOUNTER

executed this	, 20	Signature of Inventorist
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COPY

TTC Attorney Deet No. 023070-077630US

ASSIGNMENT

U.C. Case No. 99-177-5

For good and val	luable consideration, the receip	ot of which is hereby acknowledged, ASSIGNOR(S),
1. <u>John Harada</u>		2. Tamar Lotan
3. <u>Masa-Aki Oht</u>	10	4. Robert B. Goldberg
5. Robert L. Fischer		6. Anhthu Bui
	ong	
Corporation, ha 94607-5200, a interest for the	iving its statewide administra	IGNEE, The Regents of the University of California, a California ative offices located 1111 Franklin St., 12 th Floor, Oakland, CA and legal representatives of the ASSIGNEE all of its right, title and rial possessions and in all foreign countries in and to, any and all ation entitled:
LEAFY COTYLE	DON1 GENES AND THEIR US	<u> </u>
and which is for	und in	
(a)	U.S. provisional application	filed herewith and listing the above-named persons as inventors
(b)	U.S. patent application filed	herewith and listing the above-named persons as inventors
(c) <u>X</u>		9/516,052, filed on <u>March 1, 2000</u>
(d)		, issued
Patent to be o	btained for said invention by	country, including the right to claim priority and, in and to, all Letters the above application or any continuation, division, continuation-inal or substitute thereof, and any reissue, reexamination or extension international Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.



TO THE RECURSION

executed this	Signature of Inventor(s)
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4th day of July , 2000	mescalai Olito
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t No. 023070-077630US TTC Attorney D

ASSIGNMENT

U.C. Case No. 99-177-5

For good and v	valuable consideration, the receipt o	of which is hereby acknowledged, ASSIGNOR(S),
	a	o Tleten
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7. Raymond K	(wong	
Corporation, 94607-5200,	having its statewide administrative	NEE, The Regents of the University of California, a California of Califo
LEAFY COTY	LEDON1 GENES AND THEIR USES	
and which is		
(a)		ed herewith and listing the above-named persons as inventors
(b)	U.S. patent application filed he	erewith and listing the above-named persons as inventors
(c) <u>X</u>	U.S. application serial no. 09/5	516,052, filed on <u>March 1, 2000</u>
(d)	U.S. Patent No.:	, issued
Patent to be part, extension of said Letter	e obtained for said invention by the ion, conversion to 35 USC 111(a) ars Patent and all rights under all inte	untry, including the right to claim priority and, in and to, all Letters e above application or any continuation, division, continuation-inor substitute thereof, and any reissue, reexamination or extension ernational Conventions for the Protection of Industrial Property;
ASSIGNOR(S	S) hereby covenants that no assign which would conflict with this assi	ment, sale, agreement or encumbrance has been or will be made or ignment;
A SSIGNOR(S	S) further covenants that ASSIGN	EE will, upon its request, be provided promptly with all pertinen

facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.



LUST FOR RECERDATION

executed this	Signature of Inventor(s)
22 day of June, 2000	Adret Fire
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ASSIGNMENT

U.C. Case No. 99-177-5

	ASSIGNOR(S).
For good and valuable consideration, the receipt of whi	
1. John Harada	2. <u>Tamar Lotan</u>
3. Masa-Aki Ohto	4. Robert B. Goldberg
5. Robert L. Fischer	6. <u>Anhthu Bui</u>
Corporation, having its statewide administrative 94607-5200, and the successors, assigns and legal interest for the United States and its territorial poss improvements which are disclosed in the invention enterprovements.	The Regents of the University of California, a California fices located 1111 Franklin St., 12 th Floor, Oakland, CA representatives of the ASSIGNEE all of its right, title and sessions and in all foreign countries in and to, any and all titled:
LEAFY COTYLEDON1 GENES AND THEIR USES	
and which is found in (a) U.S. provisional application filed her	rewith and listing the above-named persons as inventors
(b) U.S. patent application filed herewith	th and listing the above-named persons as inventors
(c) X U.S. application serial no. 09/516,0	
(d) U.S. Patent No.:	, issued in and to all letters

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-inpart, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all international Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.



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For good and val	luable consideration, the receipt of which is	hereby acknowledged, ASSIGNOR(S),
1. <u>John Harada</u>	2.	Tamar Lotan
3. Masa-Aki Ohto	4.	Robert B. Goldberg
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and which is for		
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(b)	U.S. patent application filed herewith an	d listing the above-named persons as inventors
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		uding the right to claim priority and, in and to, all Letters pplication or any continuation, division, continuation-in- te thereof, and any reissue, reexamination or extension

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